



Compound-nucleus Formation Following Direct Interactions to Highly-excited Final States

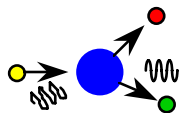
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Objectives

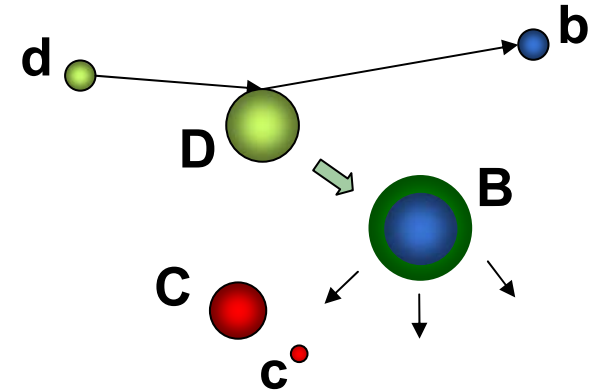


We examine an important assumption of the surrogate reaction mechanism:

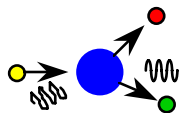
The residual nucleus **B** following a direct reaction is an equilibrated compound nucleus

The problem:

B can decay promptly by emitting particles into the continuum



Possible decay mechanisms need to be studied for each type of direct reaction used in surrogate experiments, such as $(^3\text{He}, \alpha)$, (α, α') , (d, p) , etc.



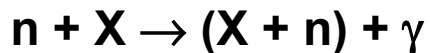
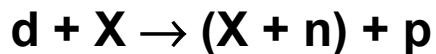
Examples of prompt decays in current experiments



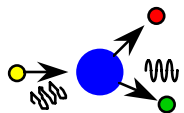
- $({}^3\text{He}, \alpha)$: Pickup process; creates a hole in the residual nucleus. This may interact with other nucleons and eject a neutron or proton into the continuum (nuclear Auger effect, or **rearrangement escape**)
- (d, p) : Stripping process; creates a particle (neutron) in residual nucleus, which is **unbound** in the case of surrogate reactions. The neutron may then be emitted into the continuum (**direct escape**)
- (α, α') : Inelastic scattering; creates coherent superpositions of particle-hole pairs. Both **rearrangement escape** and **direct escape** need to be considered

(d,p) is particularly important for reverse-kinematics experiments at RIB facilities; current tests at ORNL, LBL

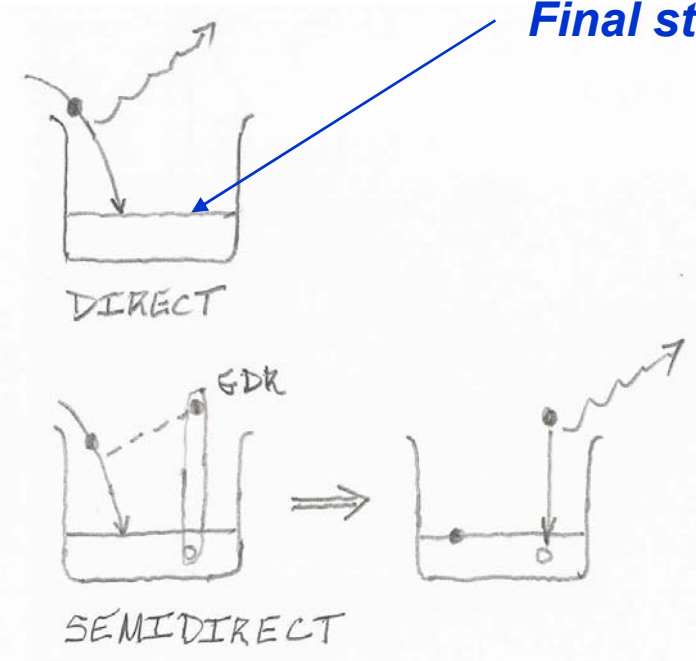
Here we examine escape effects quantitatively in a closely related reaction: direct neutron capture, (n, γ)



Just erase the proton! Theory for final-state decay is the same for both reactions



2 interfering terms in direct-semidirect capture, which is DWBA theory for nucleon radiative capture



Projectile radiates and is captured in well

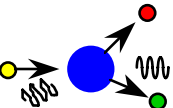
- 1) Projectile excites giant dipole resonance and is captured;
- 2) Giant dipole collapses and emits the gamma ray

Effective radial electromagnetic operator:

$$Q_L = q_L r^L + \left(\frac{1}{E_\gamma - E_{res} + i\Gamma/2} - \frac{1}{E_\gamma + E_{res}} \right) h_L'(r)$$

direct

semidirect



Theory ca. 1995 for capture to unbound final states exhibited both compound formation and direct escape of captured nucleon



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Fluctuation effects in radiative capture to unstable final states:
A test via the $^{89}\text{Y}(\vec{p}, \gamma)$ reaction at $E_n = 19.6$ MeV

W.E. Parker *et al.*

$$\frac{d\sigma}{dE_\gamma d\Omega_\gamma} = \sigma_1 + \sigma_2$$

↙ **Compound formation**
↘ **Direct escape**

↙ **Absorption at \mathbf{r} by imaginary potential W**

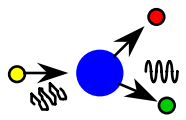
$$\sigma_1 = -\frac{1}{\phi_{inc}} \frac{2}{\hbar} \left(\frac{1}{\hbar c} \right)^3 E_\gamma^2 \int d^3\mathbf{r} W(\mathbf{r}) \left| \langle \mathbf{r} | G^{(+)} H_\gamma | \bar{\Psi}_i^{(+)} \rangle \right|^2$$

↙ **propagator**

$$\sigma_2 = \frac{1}{\phi_{inc}} \frac{2\pi}{\hbar} \left(\frac{1}{\hbar c} \right)^3 E_\gamma^2 \sum_{\mathbf{p}} \left| \langle \tilde{\chi}_{\mathbf{p}}^{(-)} | H_\gamma | \bar{\Psi}_i^{(+)} \rangle \right|^2 \delta(E - E_p)$$

↙ **Similar to calculation for bound final state**

See also Kerman & McVoy, *Ann. Phys.* 122, 197 (1979)



Calculations of $^{89}\text{Y}(p,\gamma)$ and (n,γ) show compound formation is dominant but escape also happens



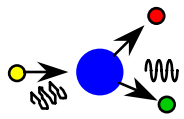
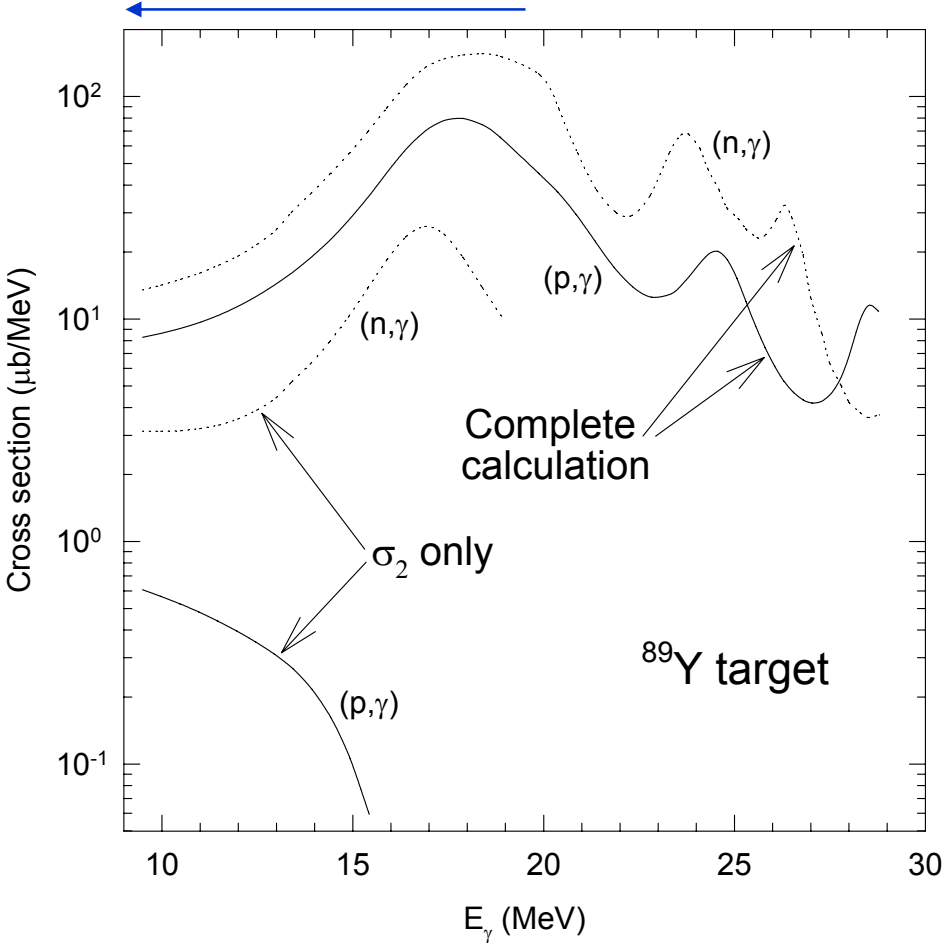
For proton capture, escape is unimportant – suppressed by Coulomb barrier

For neutron capture, escape contributes at ~15% level

For both reactions, we see effects of giant-dipole resonance as well as single-particle spectroscopy contained in optical potential for final state

Incident energy: 19.6 MeV

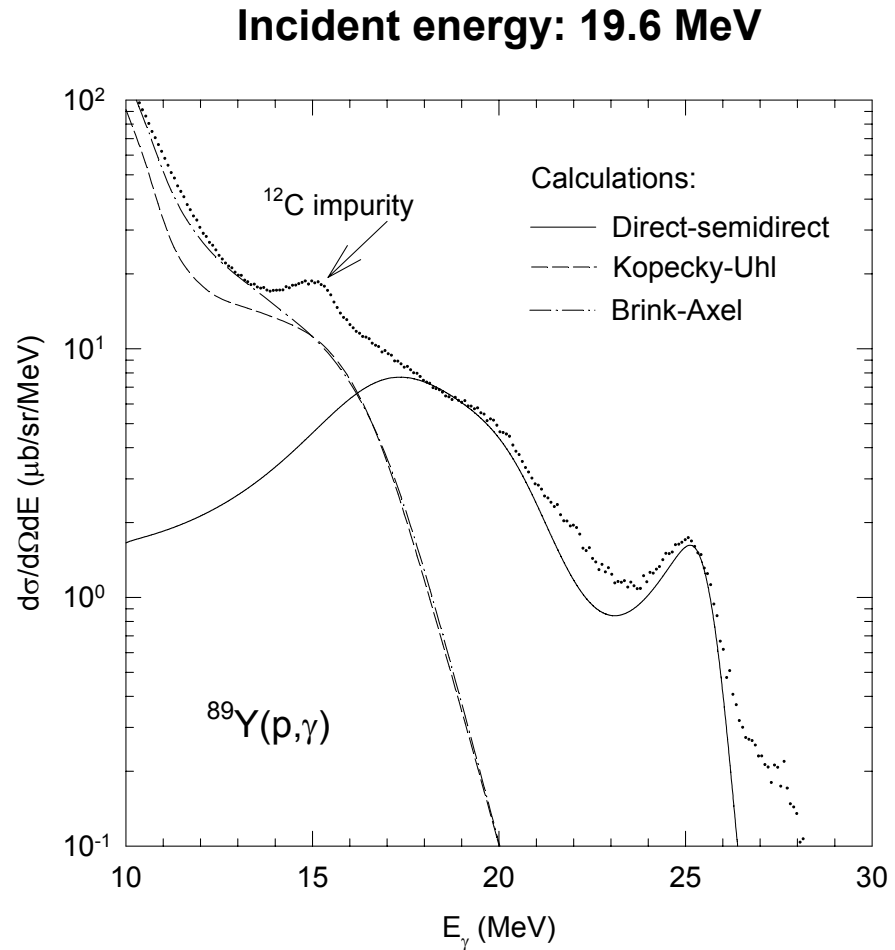
n or p unbound



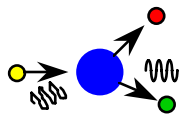
Extended DSD theory, supplemented by compound capture, well described $^{89}\text{Y}(p,\gamma)$ experiment



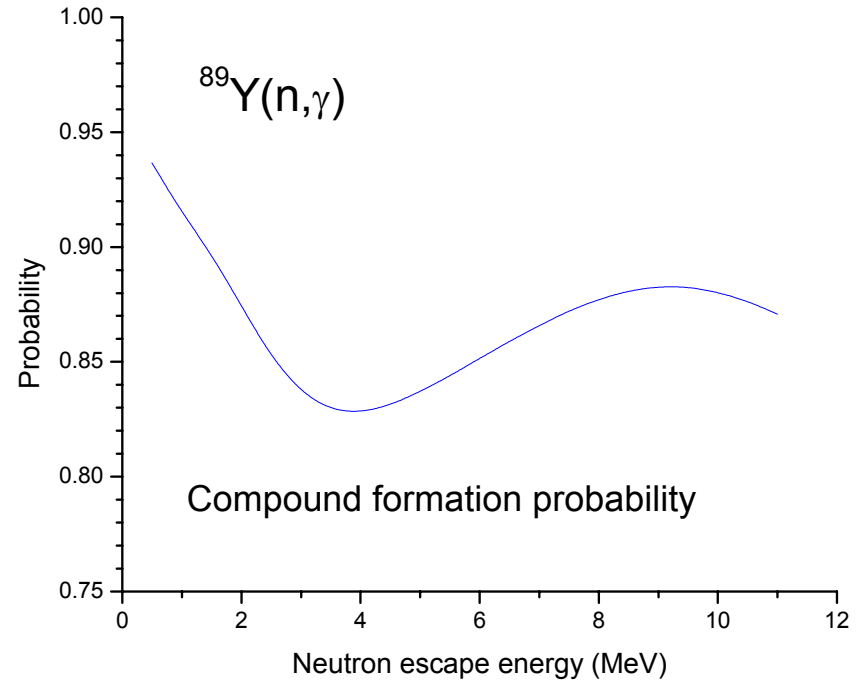
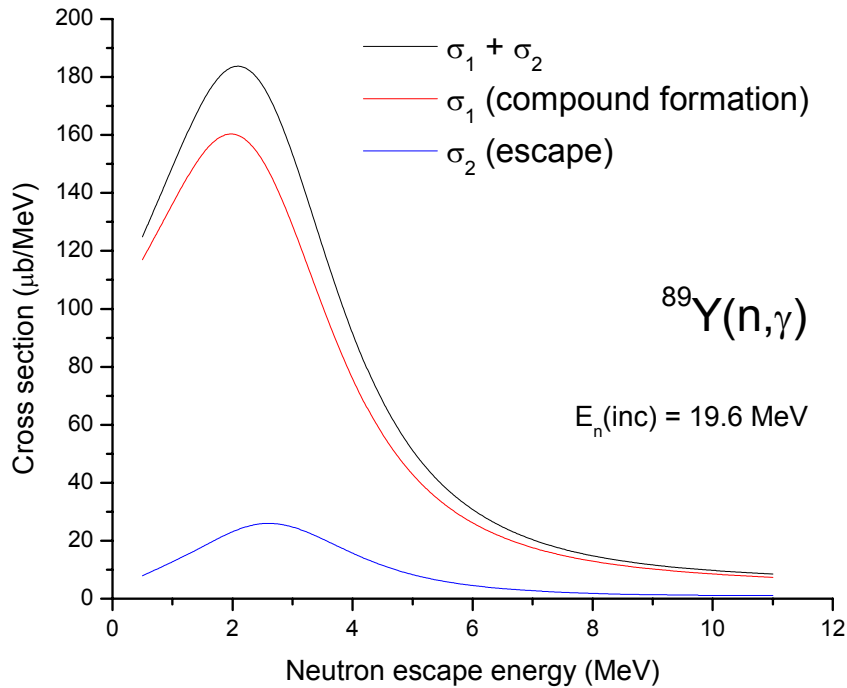
The theory also well predicted angular distributions and analyzing powers of the gammas observed in the polarized-beam experiment reported in Parker *et al.*



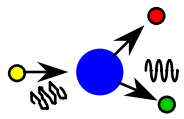
Spectrum at 90° for γ



Doing similar calculation for $^{89}\text{Y}(n,\gamma)$ shows significant (~15%) probability of direct escape



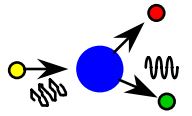
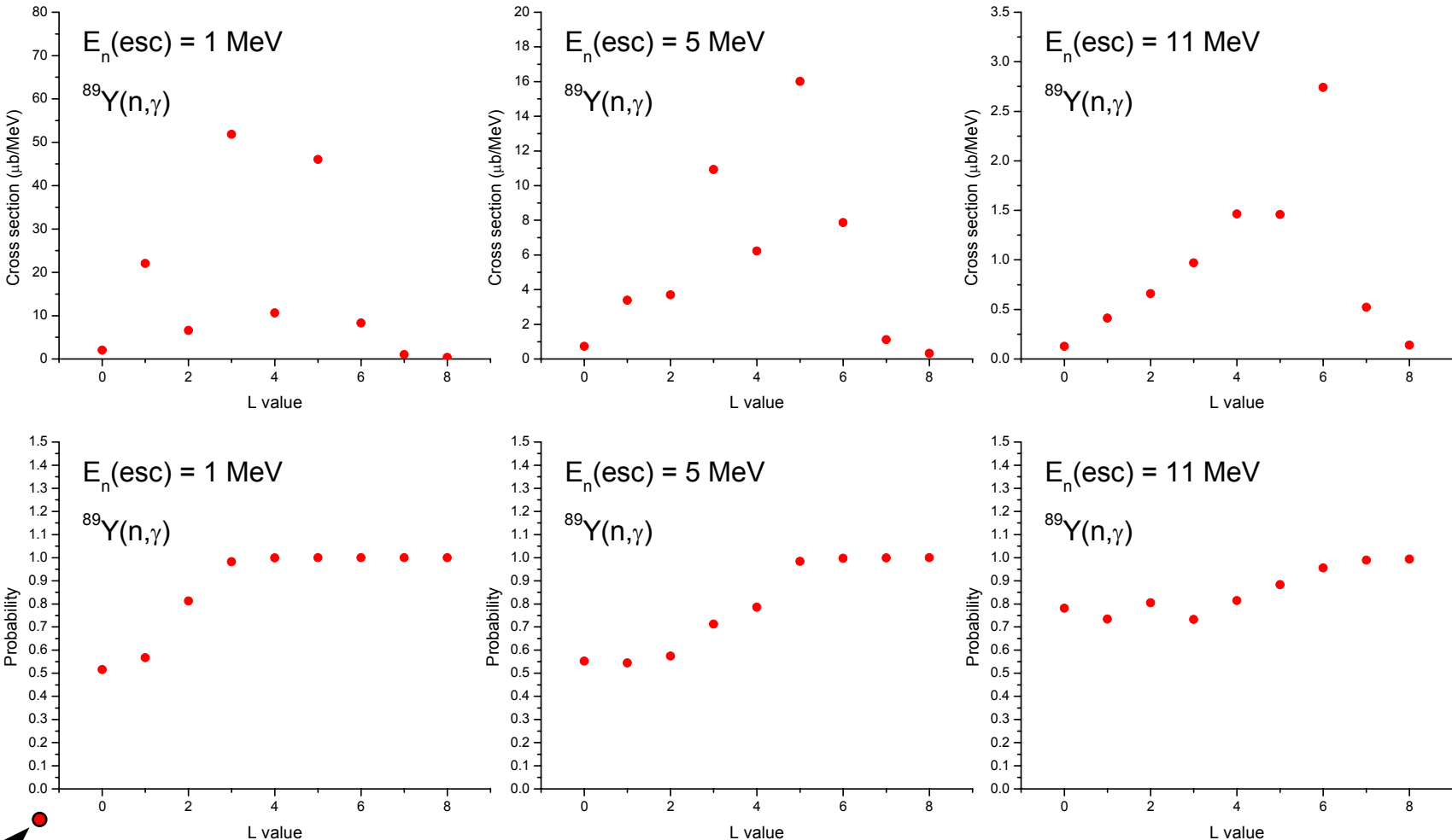
What are the consequences for the spin-parity distributions of the residual nucleus? These can be calculated.



Cross sections and compound formation probabilities calculated vs. orbital angular momentum L for 3 final-state energies



Odd-even effect in cross section: single-particle spectroscopy
Compound-formation probability variation: angular-momentum barrier



Summary and conclusions



- **Calculations of final-state L distributions and compound formation probabilities have been made for $^{89}\text{Y}(n,\gamma)$**
 - *Results are understood; we find significant direct-escape probabilities that are dependent on L*
- **These calculations provide guidance for understanding compound formation in (d,p) – should be qualitatively very similar**
- **(d,p) calculations should (and can) be carried out in DWBA, using same formalism for treating unbound final states**
 - *Replace electromagnetic interaction H_γ by residual interaction V_{pn}*

Results suggest using single-nucleon stripping as direct interaction in surrogate experiments requires careful theoretical interpretation

Further work needs to be done on other processes involving rearrangement

